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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

JOHN V. BIVONA, SADDLE RIVER
ADVISORS, LLC, SRA MANAGEMENT LLC,
FRANK G. MAZZOLA,

Defendants, and

SRA I LLC, SRA II LLC, SRA III LLC,
FELIX INVESTMENTS, LLC; MICHELE J.
MAZZOLA, ANNE BIVONA, CLEAR
SAILING GROUP IV LLC, CLEAR SAILING
GROUP V LLC,

Relief Defendants.

Case No. 3:16-cv-01386-EMC

**APPLICATION FOR
ADMISSION OF ATTORNEY
PRO HAC VICE
(CIVIL LOCAL RULE 11-3)**

I, Richard J. Babnick, an active member in good standing of the bar of New York, hereby respectfully apply for admission to practice *pro hac vice* in the Northern District of California representing: Defendant Frank G. Mazzola and Relief Defendant Michele J. Mazzola in the above-entitled action. My local co-counsel in this case is Edwin K. Prather, an attorney who is a member of the bar of this Court in good standing and who maintains an office within the State of California.

MY ADDRESS OF RECORD:

61 Broadway, 32nd Floor
New York, New York, 10006

MY TELEPHONE # OF RECORD:

212-930-9700

MY EMAIL ADDRESS OF RECORD:

rbabnick@srff.com

LOCAL CO-COUNSEL'S ADDRESS OF RECORD:

401 Bush Street, Suite 350
San Francisco, California 94108

LOCAL CO-COUNSEL'S TELEPHONE # OF RECORD:

415-881-7774

LOCAL CO-COUNSEL'S EMAIL ADDRESS OF RECORD:

edwin@pratherlawoffices.com

I am an active member in good standing of a United States Court or of the highest court of another State or the District of Columbia, as indicated above; my bar number is: 2802528.

A true and correct copy of a certificate of good standing or equivalent official document from said bar is attached to this application. I agree to familiarize myself with, and abide by, the

1 Local Rules of this Court, especially the Standards of Professional Conduct for attorneys and the
2 Alternative Dispute Resolution Local Rules.

3 *I declare under penalty of perjury that the foregoing is true and correct.*

4 Dated: May 9, 2016

5 
RICHARD J. BABNICK JR.

6
7 **ORDER GRANTING APPLICATION**
8 **FOR ADMISSION OF ATTORNEY PRO HAC VICE**

9 IT IS HEREBY ORDERED THAT the application of Richard J. Babnick is granted, subject
10 to the terms and conditions of Civil L.R. 11-3. All papers filed by the attorney must indicate
11 appearance *pro hac vice*. Service of papers upon, and communication with, local co-counsel
12 designated in the application will constitute notice to the party.

13 Dated:

14

UNITED STATES DISTRICT/MAGISTRATE JUDGE



State of New York
Supreme Court, Appellate Division
Third Judicial Department

*I, Robert D. Mayberger, Clerk of the Appellate Division of the
Supreme Court of the State of New York, Third Judicial Department, do
hereby certify that*

Richard J. Babnick, Jr.

*having taken and subscribed the Constitutional Oath of Office as prescribed by
law, was duly licensed and admitted to practice by this Court as an Attorney
and Counselor at Law in all courts of the State of New York on the 28th day of
January, 1997, is currently in good standing and is registered with the
Administrative Office of the Courts as required by section four hundred sixty-
eight-a of the Judiciary Law.*

*In Witness Whereof, I have hereunto set my hand
and affixed the Seal of said Court, at the
City of Albany, this 25th day of April, 2016.*



Robert D Mayberger

Clerk